

	Policy on the Appointment and Reappointment of Deans and Associate Deans #10.6	
CATEGORY: Human Resources/Diversity & Equity	APPROVAL DATE: MAY 25, 2016 (SENATE) JUNE 13, 2016 (BOARD OF GOVERNORS)	
EFFECTIVE DATE:	REVIEW DATE: (five years from approval date)	
APPROVAL: Senate, Board of Governors		
SPONSOR: Vice-President, Academic and Provost		
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PREVIOUS VERSIONS: None		

ACKNOWLEDGEMENTS

OCAD University wishes to acknowledge the following institutions, whose related policies and guidelines provided background in the development of this policy: Brock University, McMaster University, Nipissing University, Ryerson University, the University of Toronto and the University of Alberta.

1. PURPOSE

The purpose of this policy is to outline the manner by which the search, appointment and reappointment of Deans and Associate Deans is undertaken at OCAD University (“OCAD U” or “the University”), to ensure appropriate rigour, consistency and transparency of process and reflecting the mission, strategic direction, and values of the University. This policy and its related procedures have been developed in accordance with the *Ontario College of Art and Design University Act, 2002* (“OCAD U Act”) and its Memorandum of Agreement (“the MoA”) with the OCAD Faculty Association.

2. SCOPE

This policy applies to the appointment, reappointment, acting and interim appointment of academic administrators, which includes Deans and Associate Deans.

In accordance with the OCAD U Act, the authority for the appointment or reappointment of academic administrators resides with the Senate, and the Board of Governors with respect to the expenditure of funds, upon recommendation by the President. Such recommendations shall be made on the

advice of the duly constituted search Committees, and shall be carried out in accordance with this policy.

3. POLICY

3.1 Basic principles

3.1.1 Equity, Diversity & Inclusion

3.1.1.1 OCAD U is committed to achieving equity, diversity, and critical inclusion, including Indigenous positioning, and supporting the dignity of all people and promotes a working and learning environment that is accessible to all persons who work, study or visit the university. The search, appointment, and reappointment procedures within this policy shall reflect OCAD U's values and commitments and shall comply with the University's *Respectful Working & Learning Environment Policy*, *Accommodation in Employment for Persons with Disabilities Policy* and *AODA Customer Service Policy*. It is the policy of the University to provide barrier-free accessibility for persons with disabilities as defined by the *Accessibility for Ontarians with Disabilities Act, 2005* ("AODA") and that its working and learning environments will be free from discrimination and harassment as defined by the *Ontario Human Rights Code, 1990* ("the Code").

3.1.1.2 Advancing equity in hiring is key to enriching the academic and intellectual environment as OCAD U fosters a rich engagement with multiple perspectives and practices and ensures that students are informed by a wide spectrum of knowledge and experience.

3.1.2 Legislation

3.1.2.1 OCAD U is committed to providing equal employment opportunities to all individuals regardless of age, ancestry, colour, race, citizenship, ethnic origin, place of origin, creed, disability, family status, marital status, gender identity, gender expression, sexual orientation, sex, or record of offences as stipulated in the Code.

3.1.2.2 OCAD U is committed to achieving accessibility as stipulated in the AODA and its related standards on goods, services, facilities, employment, accommodation and buildings.

3.1.2.3 Documentation received by the Committee during the process is confidential. Handling of personal information is governed by Ontario's *Freedom of Information and Protection of Privacy Act, 1990* ("FIPPA").

3.1.3 Confidentiality

- 3.1.3.1 All members of the search Committee shall be required to sign a confidentiality agreement consistent with section 3.1.3 of this policy as a condition of their membership. Confidentiality extends beyond the duration of the search process and shall be viewed as an ongoing requirement.
- 3.1.3.2 All meetings and deliberations throughout the search process are strictly confidential and any breach of confidentiality shall be grounds for dismissal from the search Committee.
- 3.1.3.3 Only the chair or a person designated by the chair may issue statements concerning the activities of the Committee where appropriate.
- 3.1.3.4 Following the conclusion of the search process, all records pertaining to the search shall be returned to Human Resources for retention.

3.1.4 Conflict of Interest and Bias

- 3.1.4.1 Members of the search Committee cannot submit their candidacy to the position being appointed once they agree to sit on the search Committee.
 - 3.1.4.1.1 In the event of a failed search and a new search Committee is struck, members of the previous Committee would be eligible to submit their candidacy to the position being appointed.
- 3.1.4.2 Members must disclose any conflict of interest and/or bias to the chair as soon as it arises.
- 3.1.4.3 A conflict of interest and/or bias exists when a current or former relationship with a candidate or some other matter could improperly influence their judgement, and/or could reasonably create a perception of bias.
- 3.1.4.4 Throughout the search or review process, all activities must be conducted in a manner that avoids real or perceived conflict of interest.

3.1.5 Academic Planning & Community Input

- 3.1.5.1 The University recognizes the importance of alignment of academic planning and strategic priorities with the appointment or reappointment of positions covered under this policy, and the importance of input from faculty, students and other members of the University community in this regard.

3.1.6 Academic Tenure

3.1.6.1 In the event of a general search¹, a person appointed to an academic administrator position shall be accorded a tenured faculty appointment. The search Committee shall recommend the appointment and faculty rank.

3.1.6.2 In the event of an internal search, only tenured faculty may be considered for a decanal or Associate Dean appointment.

4. PROCEDURES

4.1 Search Process

4.1.1 The search process begins when there is a vacancy or when it is determined that the incumbent will not stand for another term. In the event that the incumbent can and wishes to stand for another term, the steps in section 6 *Review and Reappointment Process* outlined below shall follow.

4.1.2 The search Committee is normally formed a minimum of 9 months prior to the end of the incumbent's term, or in the event that the incumbent leaves prior to the end of their term, the Committee shall be formed as soon as possible.

4.1.3 Persons selected to serve on a search Committee may only carry out such functions after successfully completing hiring training.

4.1.4 The chair of the Committee shall have explicit responsibility for the Committee's adherence to the Ontario *Human Rights Code* and to university employment equity practices, as well as related reporting on such measures as required.

4.1.5 The chair of the Committee shall review the University's Respectful Work & Learning Environment Policy, AODA Customer Service Policy, and the obligations under the Code with all members of the Committee, and processes and procedures in the Memorandum of Agreement as applicable.

4.1.6 The chair, in consultation with the Committee shall decide whether to conduct an internal or general search, where general includes both an internal and external search. A general search for an Associate Dean would require approval from the the Vice-President, Academic and Provost; a general search for a Dean would require approval from the President.

4.1.7 The Vice-President, Academic and Provost shall decide whether a search consultant shall be retained for the general search and may ask advice from the search Committee on this matter.

4.1.7.1 The selection of a search consultant shall follow procedures in accordance with the *Broader Public Sector Accountability Act, 2010*.

¹ A general search refers to a position that is posted internally as well as nationally and internationally.

- 4.1.7.2 Search consultants must have expertise in equitable hiring practices and advancing employment equity, and shall familiarize themselves with OCAD U's strategic equity priorities.
- 4.1.8 If a search consultant is deemed warranted, services provided may include but are not limited to the following:
- i) supporting the pre-search stage of the process;
 - ii) drafting, formatting and placement of the advertisement;
 - iii) developing or updating the responsibilities, expectations and selection criteria of the position;
 - iv) preparing materials for the Committee;
 - v) assisting in the drafting of interview questions;
 - vi) suggesting presentation topics and candidate presentations to various constituent groups of the University;
 - vii) assisting in conducting the interviews;
 - viii) providing advice on negotiating the terms and conditions of employment for the recommended candidate;
 - ix) conducting post-search follow-up with the successful candidate; and
 - x) conducting thorough and unbiased reference checks.
- 4.1.9 In the case of general searches, advertising shall be undertaken internationally, within the limits of an approved budget and demonstrate OCAD U's commitment to equity, diversity and inclusiveness.
- 4.1.10 Applicants shall be informed by Human Resources of OCAD U's *Accommodation in Employment for Persons with Disabilities* policy and the process for requesting accommodations throughout the hiring process.
- 4.1.11 The Committee elicits faculty views on the selection criteria to be used for the search process.
- 4.1.12 The selection criteria should be based on *bona fide* occupational requirements necessary to carry out the position, and not create unnecessary barriers to applicants.
- 4.1.13 The university community shall then be invited to submit nominations. Consultation externally may also be undertaken to generate candidates.
- 4.1.14 The Committee shall review the submissions and draw up a shortlist of candidates to be interviewed. Should a review of the applicant pool reveal a significant underrepresentation of one or more designated groups, the Committee may undertake further efforts to recruit candidates from the designated group(s) prior to shortlisting candidates.
- 4.1.15 It may decide to invite candidates to present to the university community and/or meet with faculty, students and/or other key stakeholders.

- 4.1.16 Interviews and reference checks shall be conducted in a thorough and unbiased manner that is consistent with the *Ontario Human Rights Code*.
- 4.1.17 In the case of an Associate Dean appointment, a final candidate, agreed upon through a majority vote by secret ballot, shall then be put forward by the chair of the Committee to the Dean (if the Dean is not serving as Chair), who in turn makes a recommendation to the Vice-President, Academic and Provost who in turn makes a recommendation to the President. In the case of a decanal search, the Vice-President, in their capacity as Committee chair, shall make a recommendation to the President.
- 4.1.18 The President reviews and makes a recommendation to Senate for approval, where a majority vote of the regular composition of Senate² by secret ballot is required.
- 4.1.19 Upon Senate's approval, the recommendation is then referred by the President to the Board of Governors for approval. Both the President and the Chair of Senate may speak to the recommendation for Board approval at the Board meeting at which the motion comes forward.
- 4.1.20 As necessary, the Chair of Senate shall direct an appointee or reappointee under this policy to absent him/herself from any discussions related to his/her appointment or reappointment.
- 4.1.21 In the event that the Committee chair does not agree with the recommendation made by the review Committee, they shall return the matter, with an associated rationale, to the Committee for further deliberation. In the event that the Vice-President, Academic and Provost does not agree with the recommendation made by the Dean, they shall return the matter, with an associated rationale, for further review by the Dean and/or the Committee chair. If the President does not agree with the recommendation made by the Vice-President, Academic and Provost, they shall return the matter, with an associated rationale, to the Vice-President, Academic and Provost, for further review with the Dean and/or the Committee chair. In the event that Senate does not agree with the recommendation made by the President, the Chair of Senate shall return the matter for further review, with associated rationale, to the President, for further review with the VPAP, the Dean, and/or the Committee chair. Failing approval of a candidate at any stage in the approval process, the Vice-President, Academic and Provost may declare a failed search.
- 4.1.22 In the event that the Committee cannot make a recommendation, the search shall be deemed a failed search and a new one may be initiated. The Vice-President, Academic and Provost shall determine whether a new Committee will be formed or whether the same Committee will be used.

² See Article #4, Article #10.3.2., and Article #10.3.4. of OCAD University Senate Bylaws for a description of the required membership and quorum for a regular business meeting of Senate.

4.2 Search/Review Committee Composition

- 4.2.1 The search/review Committee shall be representative of the various constituencies over which the position has control or oversight.
- 4.2.2 Every effort should be made to ensure representation of equity-seeking groups on the Committee, including Indigenous and racialized peoples, persons with disabilities, and women, as well as other under-represented communities including LGBTTTQQI2SA³ communities.
- 4.2.3 In accordance with the MoA between the university and OCADFA, Article 2.2.2.3, the Committee shall be comprised of not less than 33% faculty.
- 4.2.4 The membership for the search/review Committees shall be composed as follows:
 - 4.2.4.1 Dean
 - i) The Vice-President, Academic and Provost or designate, who shall be chair (non-voting, except in the case of a tie);
 - ii) One (1) Dean;
 - iii) Three (3) tenured faculty members from relevant programs, elected in a vote taken among all tenured faculty members within the Faculty, such that the faculty members comprise at least 33% of the Committee;
 - iv) One (1) undergraduate student from a relevant program;
 - v) One (1) graduate student from a relevant program;
 - vi) One (1) permanent staff member from the appropriate Faculty or Office of Graduate Studies;
 - vii) One (1) tenured faculty member who is an elected member of Senate from another Faculty in the University;
 - viii) One (1) additional member may be added at the discretion of the Committee for diversity and/or specific expertise;
 - ix) One (1) Human Resources representative (non-voting).
 - 4.2.4.2 Associate Dean
 - i) The Dean or designate, who shall be chair (non-voting, except in the case of a tie);
 - ii) One (1) Associate Dean;
 - iii) Two (2) tenured faculty members from relevant programs, elected in a vote taken among all tenured faculty members within the Faculty, such that the faculty members comprise at least 33% of the Committee;
 - iv) One (1) student from a relevant program;
 - v) One (1) permanent staff member from the appropriate Faculty or Office of Graduate Studies;
 - vi) One (1) tenured faculty member who is an elected member of Senate and from another Faculty in the University;

³ Lesbian, Gay, Bisexual, Transsexual, Transgender, Queer, Questioning, Intersex, 2-Spirited and Allies or Asexual

- vii) One (1) additional member may be added at the discretion of the Committee for diversity and/or specific expertise;
- viii) One (1) Human Resources representative (non-voting).

4.2.5 In the event that a Committee member cannot complete the search process, a replacement may be found only up until the point when interviewing has commenced, in order to ensure fairness and consistency within the process.

4.3 Negotiation of compensation and terms of contract

4.3.1 The President or designate (normally the Vice-President, Academic and Provost for the appointment of Deans and the Dean for the appointment of Associate Deans) is responsible for negotiating the compensation and terms of contract in accordance with the *Broader Public Sector Executive Compensation Act, 2014* (“the BPSECA”) and the *Broader Public Sector Accountability Act, 2010* (“the BPSAA”).

4.4 Length of Term of Office

4.4.1 Deans shall normally have an initial appointment of five (5) years, and appointments shall be for a maximum period of two (2) terms.

4.4.2 Associate Deans shall normally have an initial appointment of three (3) years, and appointments shall be for a maximum period of two (2) terms.

4.4.3 A third term may be possible for any of the above appointments subject to Senate and Board approval in accordance with sections 4.1.17, 4.1.18, and 4.1.19 of this policy.⁴

5. ACTING APPOINTMENTS

5.1 In some circumstances, such as when the incumbent is on vacation, sabbatical, or on a temporary leave of up to six (6) months⁵, it is appropriate to appoint a person to serve as an acting academic administrator.

5.2 Where it is decided to appoint an acting academic administrator, the procedures set out under sections 4.1, 4.2, and 4.3 will normally be used. In addition, the following shall apply:

5.2.1 With acting appointments, Senate or Board approval is not required.

5.2.2 The search for an acting appointment is normally an internal search only.

⁴ An example of “exceptional basis” may be if the search process for a Dean or Associate Dean is not resolved and is ongoing.

⁵ In the event that an acting appointment is replacing an associate dean on a full sabbatical, that acting appointment should be for 12 months.

6. INTERIM APPOINTMENTS

- 6.1 In some circumstances, it is appropriate to appoint a person to serve as an interim academic administrator. Where it is decided to appoint an interim academic administrator, the procedures set out under sections the procedures set out under sections 4.1, 4.2, and 4.3 will be used. In addition, the following shall apply:
- 6.1.1 An interim academic administrator appointment shall normally be made for up to one (1) year. To serve longer would be considered unusual and on an exceptional basis only, with the total interim appointment not to exceed two (2) years.
 - 6.1.2 The search for an interim appointment is normally an internal search only.
 - 6.1.3 With interim appointments, Board approval is not required; however they shall be brought to Senate for approval, upon recommendation by the President.

7. REVIEW AND REAPPOINTMENT PROCESS

- 7.1 Prior to the final year of the incumbent's term, the Vice-President, Academic and Provost (in the case of a Dean) or the Dean (in the case of an Associate Dean) ascertains whether the incumbent is willing to stand for reappointment. If so, the review and reappointment process is initiated, and if not, the search process as outlined in Section 4 above is initiated.
- 7.2 The review Committee is formed, comprised of members as outlined in section 4.2 *Search/Review Committee Composition*.
- 7.3 The Committee reviews the expectations, responsibilities and selection criteria established for the position.
- 7.4 The Committee solicits feedback from constituency groups within the university community on the performance of the incumbent and their suitability for reappointment.
- 7.5 The Committee invites the incumbent to submit a self-assessment which shall reflect on past and projected leadership in the context of the selection criteria established for the position, as well as the University's strategic and academic goals and objectives.
- 7.6 The Committee reviews the information collected from the constituency groups, and without identifying sources, invites the incumbent to respond to the feedback.
- 7.7 Following the review for an Associate Dean renewal, the Committee forms its recommendation and submits it in writing to the chair, who then makes their recommendation to the Dean (if not the chair), who then makes their recommendation to the Vice-President, Academic and Provost (if not the chair). The Vice-President, Academic and Provost then makes their recommendation to the President who then makes their recommendation to Senate for final approval. Following the review for a Dean renewal, the Committee forms its recommendations and submits it in writing to the Vice-

President, Academic and Provost (if not the chair), who then makes their recommendation to the President, who then makes their recommendation to Senate for final approval.

- 7.8 In the event that the Committee chair does not agree with the recommendation made by the review Committee, they shall return the matter, with an associated rationale, to the Committee for further deliberation. In the event that the Vice-President, Academic and Provost does not agree with the recommendation made by the Dean or Committee chair, they shall return the matter, with an associated rationale, for further review by the Dean or the Committee chair. If the President does not agree with the recommendation made by the Vice-President, Academic and Provost, they shall return the matter, with an associated rationale, to the Vice-President, Academic and Provost, for further review with the Dean and the Committee. In the event that Senate does not agree with the recommendation made by the President, the Chair of Senate shall return the matter for further review, with associated rationale, to the President, for further review with the Vice-President, Academic and Provost, the Dean, and the Committee. Failing approval of a candidate at any stage in the approval process, the Vice-President, Academic and Provost may declare a failed search.
- 7.9 The total length of the review process shall not normally exceed ten (10) weeks.